# Buchanan Ingersoll & Rooney PC Attorneys & Government Relations Professionals

Alan M. Seltzer 610 372 4761 alan.seltzer@bipc.com 1150 Berkshire Blvd., Suite 210 Wyomissing, PA 19610-1208 T 610 372 4761 F 610 372 4177 www.buchananingersoll.com

June 3, 2011

### **VIA UPS - NEXT DAY AIR**

Debra A. Howland Executive Director and Secretary New Hampshire Public Utilities Commission 21 South Fruit Street, Suite 10 Concord, NH 03301-2429

Re: HealthTrust Purchasing Group, L.P.'s Natural Gas Aggregator

Registration Application

Dear Ms. Howland:

Enclosed for filing with the Commission are an original and two (2) copies of a Natural Gas Aggregator Registration Application and Exhibits on behalf of HealthTrust Purchasing Group, L.P. ("HPG"), pursuant to N.H. Code Admin. Rules PUC 3003.04(b) and (c). An electronic copy of the application and exhibits in PDF format on a CD-ROM is also enclosed along with a check in the amount of \$250, the required filing fee, pursuant to N.H. Code Admin. Rules PUC 3003.04(b) and (d).

Description of Applicant

Applicant HPG is a group purchasing organization established in 1999 and headquartered in Brentwood, Tennessee. HCA Holdings, Inc. is a majority owner and general partner of HPG through indirect, wholly owned subsidiaries. HCA Holdings, Inc. operates over 163 hospitals across the United States. Attached as part of Exhibit A are an organizational chart of HPG's corporate structure as well as an ownership chart and a chart of HPG's subsidiaries

HPG members are hospitals and other healthcare providers. On behalf of its members, HPG negotiates the purchase of goods and services with third-party suppliers, including negotiations for the purchase of natural gas and electricity services, so that its members can benefit from their combined purchasing power. HPG would negotiate the purchase of natural gas or electricity on behalf of its members from a third-party licensed natural gas or electric competitive supplier. Each HPG member would directly purchase natural gas or electricity from the third-party licensed natural gas or electric supplier, and remit payment directly to the third-party licensed supplier. HPG will not take title to the natural gas or electricity or take



Debra A. Howland Executive Director and Secretary June 3, 2011 Page 2

responsibility for delivery, and HPG will not accept customer payments or deposits. HPG would only receive an administrative fee from the third-party licensed supplier to cover the costs of conducting an RFP and negotiating and administering a Group Purchasing Agreement. HPG members do not pay HPG any fee based on the energy purchased. HPG does not charge a service fee to its members for negotiating contracts. The amount of the administrative fee is disclosed to each HPG member on the Transaction Agreement between the competitive supplier, HPG and the member hospital.

In compliance with N.H. Code Admin. Rules PUC 2003.04(a) and (b), Applicant HPG will not enroll any customers or make a legally binding offer to any non-residential customers until it has completed all aspects of the registration and notification process required by PUC 2003. HCA Holdings, Inc. (an affiliate of which is the general partner of HPG), owns two hospitals in New Hampshire, Portsmouth Regional Hospital, Portsmouth, NH, and Parkland Medical Center, Derry, NH. These two hospitals obtain natural gas service under energy contracts negotiated by their parent entity prior to 1999, and which were assigned to HPG after its creation in 1999 as part of an affiliate transaction. These hospitals also obtain electricity service under contracts negotiated by a New Hampshire licensed broker. Any administrative fees associated with these contracts and received since 1999 have been returned to HCA Holdings, Inc. for allocation to the applicable hospitals.

### **Proposed Services**

Applicant proposes to negotiate for the purchase of electricity and natural gas on behalf of its member hospitals and other healthcare providers in the State of New Hampshire from third-party competitive suppliers licensed by the Commission. Each member will directly purchase electricity or natural gas from the third-party licensed supplier, and remit payment directly to the electric or natural gas distribution company or third-party licensed supplier. Applicant will not take title to the electricity or natural gas. The customer class to be served would be commercial and/or industrial throughout the State of New Hampshire. *No residential customers will be served.* HPG will not provide services to a customer that consumes less than 50,000 kWh or 1,500 Dth per year. The customers to be served are HPG members, which would be classified as either industrial or large volume commercial or commercial customers.

### Relevant Experience and Technical Fitness

Applicant notes that since its inception in 1999, its membership has grown to more than 4,000 healthcare providers located in virtually every state, with an aggregate annual purchasing volume of over \$19 billion. Applicant currently procures natural gas for over 400 hospitals located in about 35 states, managing gas volumes of over 12 billion cubic feet annually. Applicant also manages electricity contracts for over 800 electric member accounts in about 8

Debra A. Howland Executive Director and Secretary June 3, 2011 Page 3

states with over 1 million MWh annually managed load. Copies of documentation of energy-related licenses issued to HPG in the District of Columbia and other states — Texas, Pennsylvania, Ohio, Illinois, and Massachusetts — are attached as part of Exhibit C. HPG is in the process of obtaining licenses or certificates in other jurisdictions where required. A list of key technical personnel involved in Applicant's energy-related activities and their resumes are attached as part of Exhibit C.

Please date stamp the extra copy of this cover letter and application, and return them to me in the self-addressed, stamped envelope. If you have any questions regarding this filing, please contact me at your convenience. Thank you.

Very truly yours,

Clan Mihal Sup

Alan M. Seltzer

AMS/gm Enclosures

cc: Michelle Sanchez, HealthTrust Purchasing Group, L.P.

### BEFORE THE NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION

## HEALTHTRUST PURCHASING GROUP, L.P. NATURAL GAS AGGREGATOR REGISTRATION APPLICATION

PUC 3006.02 Form for Initial Registration of Natural Gas Aggregators.

- (a) The registration application required by PUC 3003.04(a) and PUC 3003.05(b) shall include the following:
- (1) The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state.

### HealthTrust Purchasing Group, L.P.

(2) The applicant's business address, telephone number, e-mail address and website address, as applicable.

155 Franklin Road, Suite 400 Brentwood, TN 37027 (615) 344-3000 info@healthtrustpg.com www. healthtrustpg.com

(3) The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s) if anything other than an individual.

## A list of Applicant's Principal Officers, Directors and Partners is attached as Exhibit A.

(4) The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available.

Christine Harding
Manager, Energy Contracts
155 Franklin Road, Suite 400
Brentwood, TN 37027
(615) 344-3402
christine.harding@healthtrustpg.com
(888) 222-1172

(5) A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual.

Applicant is a foreign limited partnership organized under the laws of Delaware on April 28, 1999. A copy of Applicant's Certificate of Foreign Limited Partnership is attached as Exhibit B providing evidence that Applicant has registered with the New Hampshire Secretary of State.

(6) A list of CNGSs in New Hampshire through which the applicant intends to provide service.

The competitive natural gas suppliers (CNGSs) in New Hampshire with which Applicant expects to do business is as follows:

Hess Corporation Metromedia Energy, Inc. Shell Energy North America (US), L.P. South Jersey Energy Company Sprague Energy Corp.

(7) A statement that the applicant is not representing any supplier interest, or a listing of any supplier interest(s) the applicant intends to represent.

Applicant is representing the interests of its consumer members and does not represent any supplier interest.

(8) Payment of the required filing fee.

A check in the amount of \$250.00 payable to the State of New Hampshire—PUC is being filed with this registration application.

(9) The signature of the applicant or its representative.

HealthT/ust Purchasing Group, L.P.

By: Edward T. Jones, COO

Source. #9796-B, eff 9-25-10

### BEFORE THE NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION

# HEALTHTRUST PURCHASING GROUP, L.P. NATURAL GAS AGGREGATOR REGISTRATION APPLICATION EXHIBIT A - PRINCIPAL OFFICERS, DIRECTORS & PARTNERS

### **OFFICERS:**

Jim A. Fitzgerald President/Chief Executive Officer 155 Franklin Road, Suite 400 Brentwood, TN 37027 (615) 344-3000

Edward T. Jones COO, VP Contracting and Acquisition Management 155 Franklin Road, Suite 400 Brentwood, TN 37027 (615) 344-3000

John M. Paul Vice President & Chief Financial Officer 155 Franklin Road, Suite 400 Brentwood, TN 37027 (615) 344-3000

Gary Pack Chief Legal Officer/Vice President, Legal Operations 155 Franklin Road, Suite 400 Brentwood, TN 37027 (615) 344-3011

Bill L. Francis Vice President, Operations 155 Franklin Road, Suite 400 Brentwood, TN 37027 (615) 344-3000

Dora A. Blackwood, Secretary CMS GP, LLC (HPG's General Partner) One Park Plaza Nashville, TN 37203 David G. Anderson, Treasurer CMS GP, LLC (HPG's General Partner) One Park Plaza Nashville, TN 37203

Doug Swanson Vice President, HealthTrust Sales and Marketing 155 Franklin Road, Suite 400 Brentwood, TN 37027 (615) 344-3000

Shelly A. Workman Vice President, Clinical Operations 155 Franklin Road, Suite 400 Brentwood, TN 37027 (615) 344-3000

### **DIRECTORS/MANAGERS:**

Jon Ervin Director, National Energy Programs 155 Franklin Road, Suite 400 Brentwood, TN 37027 (615) 344-3401

Christine Harding Manager, Energy Contracts 155 Franklin Road, Suite 400 Brentwood, TN 37027 (615) 344-3402

Dave McMillan Manager, Procurement 155 Franklin Road, Suite 225 Brentwood, TN 37027 (615) 344-3404

### **PARTNERS:**

Each of Applicant's partners is involved in the business of providing healthcare services.

Majority Owner/ General Partner:

HCA Holdings, Inc. One Park Plaza Nashville, TN 37203 General Partner:

CMS GP, LLC One Park Plaza Nashville, TN 37203

CMS GP, LLC is a wholly owned, indirect subsidiary of HCA Holdings, Inc. which operates over 163 hospitals across the United States.

Limited Partners:

Management Services, LP, LLC

One Park Plaza Nashville, TN 37203

Management Services, LP, LLC is a wholly owned, indirect subsidiary of HCA Holdings, Inc. which operates over 163 hospitals across the U.S.

LifePoint Hospitals Holdings, Inc. 103 Powell Court, Suite 200 Brentwood, TN 37027

Health Management Associates, Inc. 5811 Pelican Bay Blvd., Suite 500 Naples, FL 34108

CHS/Community Health Systems, Inc. 4000 Meridian Blvd. Franklin, TN 37067

Universal Health Services, Inc. 367 South Gulph Road King of Prussia, PA 19406

Consorta, Inc. 1475 E. Woodfield Road Schaumburg, IL 60173

### Consorta Shareholders:

Caritas Christi Health Care System 77 Warren Street Brighton, MA 02135

Catholic Health Initiatives 1999 Broadway, Suite 2600 Denver, CO 80202

Ministry Health Care 11925 W. Lake Park Drive Milwaukee, WI 53224

Sisters of St. Francis Health Services, Inc. 1515 Dragoon Trail Mishawaka. IN 46544

Trinity Health System Corporation 34605 Twelve Mile Road Farmington Hills, MI 48331-3221

Wheaton Franciscan Services, Inc. 26W 171 Roosevelt Road Wheaton, IL 60187

Catholic Health East 14 Campus Blvd., Suite 300 Newton Square, PA 19073

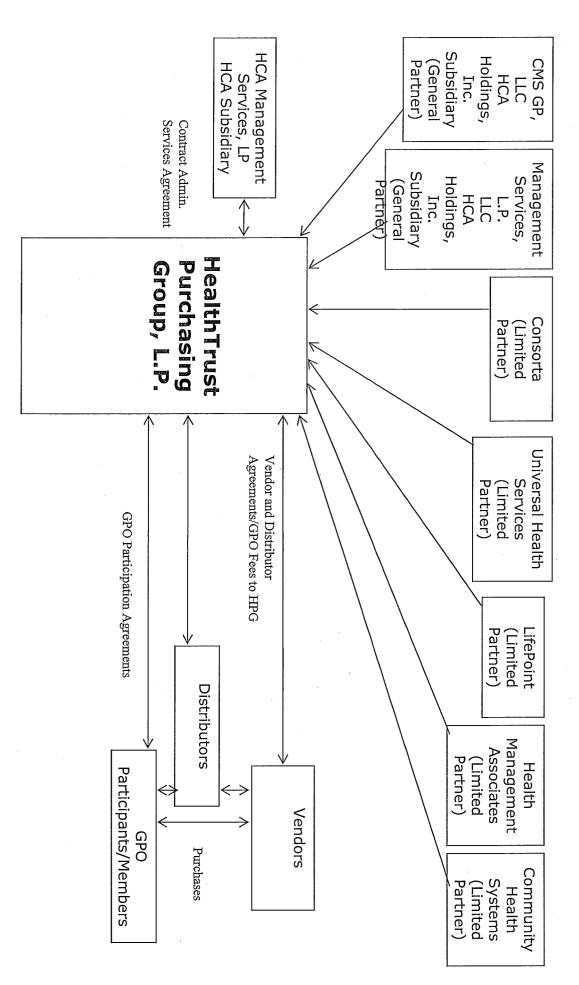
Hospital Sisters Health System 4935 LaVeme Road Springfield, IL 62707

Provena Health 19065 Hickory Creek Dr., # 300 Mokena, IL 60448

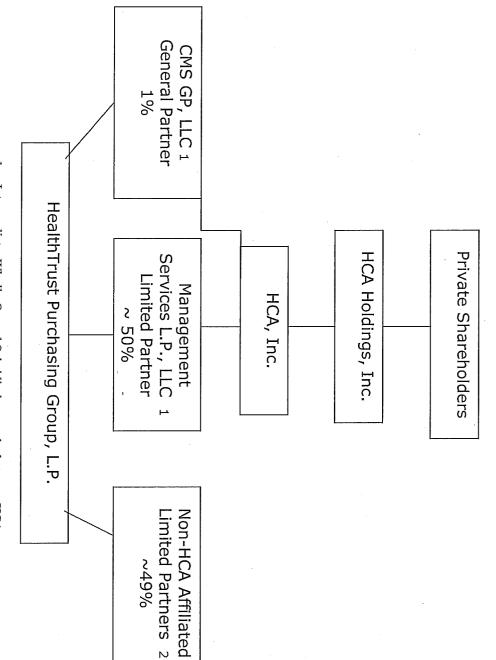
St. John Health System 1923 South Utica Tulsa, OK 74104-6502

Via Christi Health System 3720 E. Bayley Wichita, KS 67218

# HealthTrust Purchasing Group, L.P. (Operational Chart)

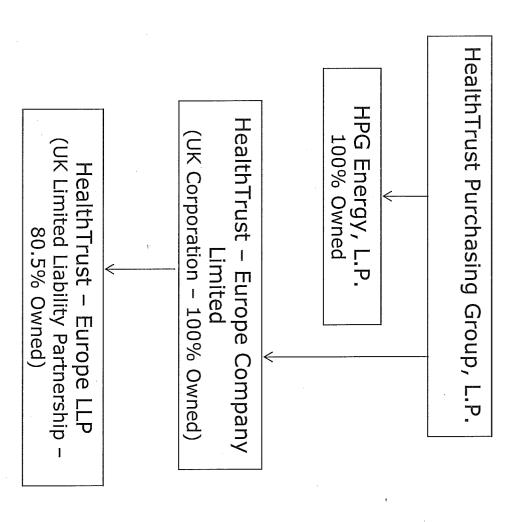


# HealthTrust Purchasing Group, L.P. (Ownership Chart)



- Intermediate, Wholly Owned Subsidiaries may be between HCA, Inc. and these entities.
- Subsidiaries:
- Consorta, Inc. LifePoint Hospitals, Inc.
- Universal Health Services, Inc.
- Health Management Associates, Inc.
- Community Health Systems Professional Services Corporation

# HealthTrust Purchasing Group, L.P. Subsidiaries



### BEFORE THE NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION

# HEALTHTRUST PURCHASING GROUP, L.P. NATURAL GAS AGGREGATOR REGISTRATION APPLICATION EXHIBIT B - AUTHORIZATION TO DO BUSINESS IN NEW HAMPSHIRE

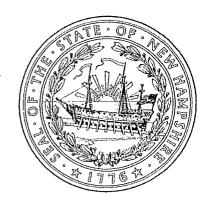
Applicant HealthTrust Purchasing Group, L.P. (HPG) is a foreign limited partnership organized under the laws of Delaware on April 28, 1999. Attached as Exhibit B is a copy of Applicant's Certificate of Foreign Limited Partnership providing evidence that Applicant has registered with the New Hampshire Secretary of State.

# State of New Hampshire Department of State

CERTIFICATE OF REGISTRATION FOR
HEALTHTRUST PURCHASING GROUP, L.P.
A FOREIGN LIMITED PARTNERSHIP

The undersigned, as Secretary of State of the State of New Hampshire, hereby certifies that HEALTHTRUST PURCHASING GROUP, L.P., a limited partnership duly organized under the laws of the State of Delaware, has this day qualified under the provisions of New Hampshire Revised Statutes Annotated, Chapter 304-B, and is authorized to do business in New Hampshire under the name HEALTHTRUST PURCHASING GROUP, LIMITED PARTNERSHIP as provided by said statute.

Business ID#: 619540



IN TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 16th day of September, 2009 A.D.

William M. Gardner Secretary of State

## State of New Hampshire

Filed Date Filed: 09/16/2009 Business ID: 619540 William M. Gardner Secretary of State

Filing fee:

\$50.00

Fee for Form SRA: \$50.00

Total fees:

\$100.00

Use black print or type.

Form must be single-sided, on 81/2" x 11" paper; double sided copies will not be accepted.

Form FLP-I RSA 304-B:49

### APPLICATION FOR REGISTRATION OF FOREIGN LIMITED PARTNERSHIP

(1)	Limited Partnership name (see Note 1): HealthTrust Purchasing Group, L.P.		
(2)	Name used in New Hampshire: HealthTrust Purchasing Group, Limited Partnership		
(3)	State of Formation: Delaware Date of Formation: April 28, 1999		
(4)	Name of Agent: CT Corporation System		
(5)	) Agent's Address: 9 Capitol Street, Concord, N.H. 03301		
	The Secretary of State is appointed agent for service of process if agent has not been appointed or cannot be found or served or agent's authority has been revoked.		
	Address of office in state of formation (if not required by laws of state of formation, address of principal office):  One Park Plaza - Legal Department, Nashville, TN 37203		
	Address at which is kept a list of the names and addresses of limited partners and their contributions.  These records will be maintained until this registration is canceled or withdrawn:  One Park Plaza - Legal Department, Nashville, TN 37203		
	The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).		

State of New Hampshire Form FLP 1 - Limited Partnership Registration 3 Page(s)



Page 1

NH044 - 03/24/2009 C T System Online

(10) GENERAL PARTNERS (list additional g	general partners on separate sheet):
Name(s):	Business Address(es):
CMS GP, LLC	One Park Plaza, Nashville, TN 37203
I, the undersigned, do hereby certify that the st information, knowledge and belief.	tatements on this application are true to the best of my
	CMS GP, LLC, general partner
S	SIGNED: By: Dana Rumm
_	(by a general partner)
	(by a general partner)

Note 1: List actual limited partnership name on (1). If name does not contain "limited partnership" without abbreviation, add to name on (2). If using an assumed name, insert assumed name on (2) and file trade name application (Form TN-1, \$50.00).

DISCLAIMER: All documents filed with the Corporate Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fee, <u>DATED AND SIGNED ORIGINAL AND FORM SRA</u> to: Corporate Division, Department of State, 107 North Main Street, Concord NH 03301-4989.

# Form SRA – Addendum to Business Organization and Registration Forms Statement of Compliance with New Hampshire Securities Laws

Part I – Business Identification and Contact Information	
Business Name: HealthTrust Purchasing Group, L.P.	
Business Address (include city, state, zip): One Park Plaza, Nashville, TN 37203	
Telephone Number: 615-344-2162 E-mail: dora.blackwood@hcahealthcare.com	
Contact Person: Dora A. Blackwood	
Contact Person Address (if different):	
Part II - Check <u>ONE</u> of the following items in Part II. If more than one item is checked, the form will be rejected. [PLEASE NOTE: Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. However, you must insure that your business meets all of the requirements spelled out in A), B), and C)]:	
<ol> <li>Ownership interests in this business are exempt from the registration requirements of the state of New Hampshir because the business meets <u>ALL</u> of the following three requirements:         <ul> <li>A) This business has 10 or fewer owners; and</li> <li>B) Advertising relating to the sale of ownership interests has not been circulated; and</li> <li>C) Sales of ownership interests – if any – will be completed within 60 days of the formation of this business.</li> </ul> </li> </ol>	
2 This business will offer securities in New Hampshire under another exemption from registration or will notice fi for federal covered securities. Enter the citation for the exemption or notice filing claimed	
This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation	
4. This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.	
Part III - Check ONE of the following items in Part III:	
1 This business is not being formed in New Hampshire.	
2 This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.	
Part IV - Certification of Accuracy	
(NOTE: The information in Part IV must be certified by: 1) <u>all</u> of the incorporators of a corporation to be formed; or 2) <u>and</u> executive officer of an existing corporation; or 3) <u>all</u> of the general partners or intended general partners of a limited partnership; or 4) <u>one or more</u> authorized members or managers of a limited liability company; or 5) <u>one or more</u> authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.)	
I (We) certify that the information provided in this form is true and complete. (Original signatures only)	
Name (print): Dora A. Blackwood, VP/Secretary of GP  Signature: By: Dora A. Blackwood, VP/Secretary of GP	
Date signed: 9-8-2009	
Name (print): Signature:	
Date signed:	
Name (print): Signature:	
Date signed:	

### BEFORE THE NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION

# HEALTHTRUST PURCHASING GROUP, L.P. NATURAL GAS AGGREGATOR REGISTRATION APPLICATION EXHIBIT C - RELEVANT EXPERIENCE AND TECHNICAL FITNESS

Applicant hereby submits information and the resumes of key technical staff as evidence of HPG's technical ability to provide natural gas aggregator services to its members. Applicant notes that since its inception in 1999, its membership has grown to more than 4,000 healthcare providers located in virtually every state, with an aggregate annual purchasing volume of over \$19 billion. Applicant currently procures natural gas for over 400 hospitals located in about 35 states, managing gas volumes of over 12 billion cubic feet annually. Applicant also manages electricity contracts for over 800 electric member accounts in about 8 states with over 1 million MWh annually managed load. Copies of documentation of energy-related licenses issued to HPG in the District of Columbia and other states — Texas, Pennsylvania, Ohio, Illinois, and Massachusetts — are attached as part of Exhibit C. HPG is in the process of obtaining licenses or certificates in other jurisdictions where required. A list of key technical personnel involved in Applicant's energy-related activities and their resumes are set forth below.

### BRIAN WELDY, PE, CEM, DGCP

Vice President, HealthTrust Facility Infrastructure

E-mail: <u>brian.weldy@healthtrustpg.com</u>

Phone: (615) 344-2103

Brian Weldy is the Vice President of HealthTrust's Facility Infrastructure Team. He is responsible for the development and deployment of integrated facility infrastructure solutions including Energy Services Procurement, Energy Efficiency Commissioning, and Facility Management Commissioning Services.

He has worked in Healthcare Facility Management for more than 25 years including managing HCA's 90 million square feet and hospital systems in Southern California, Portland, OR, Indianapolis, and Kansas City.

Brian is a graduate of South Dakota School of Mines and Technology with a degree in Chemical Engineering, a licensed Professional Engineer, Certified Energy Manager and Distributive Power Generation Certified Professional. He is VP of Sustainability with the International Facility Management Association Healthcare Council, Committee member of the DOE Healthcare Energy Alliance and past president of the Nashville Chapter of the International Facility Management Association.

### JON D. ERVIN, PE

Director, National Energy Programs jon.ervin@healthtrustpg.com (615) 344-3401

As the Director of National Energy Programs for HealthTrust Purchasing Group, L.P., Jon Ervin directs the procurement of natural gas, electricity and related energy services for HealthTrust members. He is also responsible for an annual energy commodity budget of about \$300 million for HCA Inc., d/b/a Hospital Corporation of America. With about 163 hospitals in 20 states and Europe and over \$30 billion in annual revenues, HCA is the nation's largest for-profit healthcare organization.

Ervin has been involved in many facets of the energy industry since the start of his career, including production, distribution, procurement and marketing. Ervin directed energy affairs for a diversified manufacturer with glass, rubber and food operations and was a principal of Access Energy, one of the first and largest independent natural gas merchants. When Access was acquired by Enron Corp. in 1992, he was Senior Vice President of Marketing. He held various positions with Enron Capital & Trade Resources and Enron Energy Services until 1998 when he joined HCA.

Ervin holds a BS in Civil Engineering from Purdue University and a MBA from Ball State University. He is a licensed Professional Engineer in the state of Ohio. In the aftermath of the energy crisis of the late 1970's, he was appointed by the governor of Ohio to the Public Audit Committee (PAC) of the Public Utilities Commission of Ohio which investigated the natural gas supply procurement practices of natural gas distribution utilities in Ohio.

### DAVE MCMILLAN, PE, CEM, CPQ

Manager, Power Procurement dave.mcmillan@healthtrustpg.com (615) 344-3404

As the Manager of Power Procurement for HealthTrust Purchasing Group, Dave McMillan supports the management of energy costs for HealthTrust members participating in National Energy Programs. HealthTrust energy initiatives include the administration of deregulated electric and natural gas agreements, utility rate studies, evaluation of capital programs, utility negotiations, and the evaluation and implementation of new products and service offerings for HealthTrust participants.

Dave has twenty years of diverse energy industry experience including electrical design and engineering, utility negotiations, and deregulated energy procurement. Prior to joining HealthTrust, he was the energy manager for a Fortune 500 retailer. He also has previous experience working as an engineering design supervisor for an electric generation and transmission cooperative, project manager for utility consulting firm, and director of engineering for an energy service company. Before pursuing his engineering degree, Dave worked offshore for four years in the Gulf of Mexico on a jack-up drilling rig exploring for natural gas and oil. He also received training as an electrician and combat engineer during his ten years of service with the U.S Army Reserve and Alabama National Guard.

Dave received his Bachelor of Science in Engineering from the University of Alabama in Huntsville and his MBA from the University of Alabama. He is a licensed Professional Engineer in the States of Tennessee, Illinois and Georgia. He has been a member of the Institute of Electrical and Electronics Engineers (IEEE) for twenty years and is a senior member of the Association of Energy Engineers.

### **ALICIA B. RYE**

Manager, Natural Gas Procurement E-mail: <u>alicia.rye@healthtrustpg.com</u>

Phone: (615) 344-3405

As Manager, Natural Gas Procurement, Alicia Rye is responsible for providing economic analysis to prospective participants, assisting clients in identifying optimum rate schedules, price risk hedging strategies, and generating benchmarking and utility rate analysis for gas accounts. Alicia also develops natural gas RFPs, analyzes results, and makes supplier recommendations to members.

Alicia has over 15 years of diverse utility and governmental experience encompassing regulatory, rate development, and economic development. Her experience proves invaluable in analyzing regulatory and energy information and supporting the HealthTrust membership and their facility management teams with energy analysis and reporting.

Alicia has a MBA from Tennessee Technological University and a B.S. in Business Management from David Lipscomb University. Before joining HealthTrust, she was a Senior Rate Analyst at Atmos Energy Corporation and prior to that was a consulting firm associate engaged in promoting economic, community, and industrial development.



# THE COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

DEVAL L. PATRICK GOVERNOR

TIMOTHY P. MURRAY LIEUTENANT GOVERNOR

RICHARD K. SULLIVAN, JR. SECRETARY OF ENERGY AND ENVIRONMENTAL AFFAIRS ONE SOUTH STATION BOSTON, MA 02110 (617) 305-3500 ANN G. BERWICK CHAIR

JOLETTE A. WESTBROOK COMMISSIONER

May 20, 2011

Michael T. Killion Buchanan Ingersoll & Rooney PC One South Market Square 213 Market Street, 3<sup>rd</sup> Floor Harrisburg, PA 17101-2121

Mr. Killion,

The Department of Public Utilities has reviewed HealthTrust Purchasing Group LP's ("HPG") application for a Gas Retail Agent license. I am pleased to inform you that the application has been approved. HPG's license number is RA-068.

As a condition of maintaining its license, HPG must file updated information within 30 days of any material or organic change in the information required by 220 C.M.R. § 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 C.M.R. §§ 12.00, 14.00 et seq. If you decide to request renewal of HPG's license next year, please submit a renewal application that includes audited financial statements, at least 30 days prior to the expiration of this license.

Sincerely,

Mark D. Marini, Secretary



# GA-11-2-3

EA - 11 - 13 - 3

PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA

1333 H STREET N.W., 2ND FLOOR, WEST TOWER

WASHINGTON, D.C. 20005

RECEIVED

### **ORDER**

2011 MAY 26 P 5: 10

DISTRICT OF COLUMBIA PUBLIMAY 26, 2011 PHISSION

FORMAL CASE NO. GA 11-2, IN THE MATTER OF THE APPLICATION OF HEALTHTRUST PURCHASING GROUP, L.P. FOR A NATURAL GAS SUPPLIER LICENSE;

**AND** 

FORMAL CASE NO. EA 11-13, IN THE MATTER OF THE APPLICATION OF HEALTHTRUST PURCHASING GROUP, L.P. FOR AN ELECTRICITY LICENSE; Order No. 16373

- 1. By this Order, the Public Service Commission of the District of Columbia ("Commission") grants HealthTrust Purchasing Group, L.P. ("HealthTrust Purchasing Group" or "Applicant") licenses to function as an electricity and natural gas supplier in the District of Columbia. Specifically, HealthTrust Purchasing Group intends to operate as an aggregator/broker of electricity pursuant to Section 34-1505 of the "Retail Electric Competition and Consumer Protection Act of 1999" ("Act"), and as a aggregator/broker of natural gas pursuant to the licensing requirements set forth in GT 96-3, Order No. 12903. In both instances, the Applicant intends to serve commercial and industrial customers in the District of Columbia.
- 2. On May 12, 2011,<sup>4</sup> HealthTrust Purchasing Group filed its license applications. Pursuant to the Commission's licensing requirements as set forth in Formal Case No. 945, Order No. 11796, and Order Nos. 12709 and 12903 in GT 96-3,<sup>5</sup> the Applicant provided the following information:

D.C. Code § 34-1501(17) (2010 Supp.) defines, in part, an electricity supplier as "a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers." D.C. Code § 34-1671.02(12) (2010 Supp.) defines a natural gas supplier as "a person including an aggregator, broker, or marketer, who sells natural gas or purchases, brokers, arranges or, markets natural gas for sale to customers."

<sup>&</sup>lt;sup>2</sup> D.C. Official Code § 34-1505; see generally §§ 34-1501-1520.

<sup>&</sup>lt;sup>3</sup> D.C. Code § 34-1671.05 (2010 Supp.) see also GT 96-3, Order No. 12709, as revised by Order No. 12903.

Formal Case No. EA 11-13, In the Matter of the Application of HealthTrust Purchasing Group, L.P. for an Electricity License, Application of HealthTrust Purchasing Group, L.P. filed May 12, 2011; and Formal Case No. GA 11-2, In the Matter of the Application of HealthTrust Purchasing Group, L.P. for a Natural Gas Supplier License, Application of HealthTrust Purchasing Group, L.P. filed May 12, 2011.

Formal Case No. 945, Phase II, Order No. 11796 at Attachment C; and GT 96-3, Order No. 12709, as revised by Order No. 12903, at Attachment C.

- a) Proof of technical and managerial competence;
- b) Affidavits of compliance with applicable Federal and District of Columbia environmental laws and regulations dated March 25, 2011;
- c) Proof of financial integrity to include financial statements;
- d) Proof that HealthTrust Purchasing Group has registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;
- e) Affidavits that HealthTrust Purchasing Group is subject to all applicable taxes;
- f) Affidavits dated March 25, 2011, stating that HealthTrust Purchasing Group will comply with all the requirements of all orders and regulations of the Commission; and
- g) Applicant's website address: www.healthtrustpg.com.
- 3. After a complete review of the applications, the Commission finds that granting the applications of HealthTrust Purchasing Group, L.P. will serve the public interest. The information contained in its applications demonstrate that the Applicant has the ability and the financial integrity to serve natural gas and electricity customers in the District of Columbia.

### THEREFORE, IT IS ORDERED THAT:

4. The applications of HealthTrust Purchasing Group, L.P. for licenses to conduct business in the District of Columbia as a natural gas and electricity supplier are hereby **GRANTED**.

A TRUE COPY:

BY DIRECTION OF THE COMMISSION:

**CHIEF CLERK:** 

ACTING COMMISSION SECRETARY

Brett A. Perlman Commissioner

Rebecca Klein Commissioner

W. Lane Lanford Executive Director



02FEB 25 Lill: 58

Public Utility Commission of Texas

TO:

HPG Energy, L.P.

c/o Michael J. Tomsu Vinson & Elkins L.L.P.

600 Congress Ave., Ste. 2700

Austin, TX. 78701

All Parties of Record

RE:

PROJECT NO. 25144 - Application of HPG Energy, L.P., for Registration as an

Aggregator, Pursuant to P.U.C. SUBST. R. 25.111

### NOTICE OF APPROVAL

On December 10, 2001, HPG Energy, L.P. filed an application for registration as an Aggregator, pursuant to P.U.C. SUBST. R. 25.111.

On February 20, 2002, Commission Staff filed its recommendation concluding that, based on the information provided in the application, HPG Energy, L.P. is capable of fulfilling the customer protection provisions, disclosure requirements, and marketing guidelines of PURA.1 Commission Staff recommended that HPG Energy, L.P. be registered for the aggregation service for which it has applied.

Consistent with that recommendation, HPG Energy, L.P.'s application for registration as an Aggregator is approved.<sup>2</sup> HPG Energy, L.P. is granted registration number 80086.

SIGNED AT AUSTIN, TEXAS the 20 day of February 2002.

PUBLIC UTILITY COMMISSION OF TEXAS

ADMINISTRATIVE LAW JUDGE

POLICY DEVELOPMENT DIVISION

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<sup>&</sup>lt;sup>1</sup> The Public Utility Regulatory Act, Tex. UTIL. CODE ANN. §§ 11.001 – 64.158 (Vernon 1998 & Supp. 2002) (PURA).

<sup>&</sup>lt;sup>2</sup> Administrative approval of this uncontested application has no precedential value in a future proceeding.

# PUBLIC UTILITY COMMISSION

IN THE MATTER OF THE APPLICATION OF: A-2009-2145163

The Application of HealthTrust Purchasing Group, LLP for approval to begin to offer, render, furnish or supply electricity or electric generation services as a broker/marketer to the public within the Commonwealth of Pennsylvania.

hereby issues, evidencing the Commission's approval, to the applicant this: application is necessary or proper for the service, accommodation, convenience and safety of the public and hearing, it has, by its report and order made and entered, found and determined that the granting of the The Pennsylvania Public Utility Commission hereby certifies that after an investigation and/or

# LICENSE FOR ELECTRIC GENERATION SUPPLIER.

at its office in the city of Harrisburg this 28th day of January 2010. has caused these presents to be signed and sealed, and duly attested by its Secretary In Witness Whereof, the PENNSYLVANIA PUBLIC UTILITY COMMISSION

Secretary

Commissioners

Valerie A. Lemmie Paul A. Centolella Cheryl Roberto Steven D. Lesser

### PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

Certificate Number: 11-344E (1)

Issued Pursuant to Case Number(s):

### 11-1090-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, HealthTrust Purchasing Group, L.P. whose office or principal place of business is located at 155 Franklin Road, Suite 400, Brentwood, TN 37027 to provide aggregation and power broker services within the State of Ohio effective April 01, 2011.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

> This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: April 7, 2011

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Betty McCauley, Secretary

Tanowa M. Troupe, Acting Secretary

M. Beth Trombold, Acting Secretary

Certificate Expires: April 01, 2013

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business. Technician Date ProcessedAPR 0 7

# The Public Utilities Commission of Ohio Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate

Issued pursuant to Case Number(s): 11-1095-GA-AGG

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Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number: 11-211G(1)

Granted to: HealthTrust Purchasing Group, LP

Whose office or principal place of business is located at: 155 Franklin Road, Suite 400, Brentwood, TN 37027

And is hereby certified to provide:

Retail Natural Gas Aggregator/Broker Services

within the state of Ohio, for a two-year period.

Certification Effective:
April 1, 2011 Through April 1, 2013

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio Dated: April 4, 2011

By Order of The Public Utilitles Commission of Ohio

Betty McCauley, Secretary
Tanowa M. Troupe, Acting Secretary
M. Beth Trombold, Acting Secretary

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# RECEIVED SEP 3 0 2010 LEGAL DEPARTMENT

### ILLINOIS COMMERCE COMMISSION

September 10, 2010

Re: 10-0364

Dear Sir/Madam:

Attached is a copy of the Order entered by this Commission. Related memoranda will be available on our web site (www.icc.illinois.gov/e-docket) in the docket number referenced above.

Sincerely,

Elizabeth A. Rolando

Chief Clerk

EAR:sc Enc.

### STATE OF ILLINOIS

### ILLINOIS COMMERCE COMMISSION

HealthTrust Purchasing Group, L.P.

Application for Licensure of Agents, Brokers and Consultants under Section 16-115C of the Public Utilities Act.

10-0364

### **ORDER**

By the Commission:

### I. PRELIMINARY MATTERS

On June 2, 2010, HealthTrust Purchasing Group, L.P. ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454 ("Part 454"). The Administrative Law Judge requested additional information and clarification of certain matters contained in the Application. The Applicant filed a verified response indicating that it is Pennsylvania and Texas similar to the ABC licensing, and that it has had no complaints filed against it for its provision of services in the gas or electric industry. Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

# II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of Delaware and licensed to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data.

# III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant submitted an original surety bond in satisfaction of Section 454.80.

Applicant is required by Part 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment A and the response to the Administrative Law Judge includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment A and the response to the Administrative Law Judge include an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

Applicant is required by Part 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Part 454.70 with the information provided, including the information included in Attachment A and the response to the Administrative Law Judge include an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

### IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical and managerial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements.

The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

### **CERTIFICATE OF SERVICE AUTHORITY**

IT IS CERTIFIED that HealthTrust Purchasing Group, L.P. is granted service authority to operate as an agent, broker or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

### V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) HealthTrust Purchasing Group, L.P., a business organized under the laws of Delaware, seeks a certificate of service authority to operate as an agent, broker or consultant under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial and technical resources and abilities to provide services as an agent, broker or consultant for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) HealthTrust Purchasing Group, L.P. should be granted the certificate of service authority to operate as an agent, broker or consultant as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that HealthTrust Purchasing Group, L.P. is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that HealthTrust Purchasing Group, L.P. shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 III. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 9th day of September, 2010.

(SIGNED) MANUEL FLORES

Acting Chairman